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คณะแพทยศาสตร์ มข.



Evolution and Bhylogenetic analysis

Eukaryotes





LLYTFN1000CF1TGNF01WNSK10GVLGT0FLVERNGTLTTSLVVPSKLWV	····E00551F1HETE-···	RECEMPTORINGPATFEASELEVIL
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PHSSTTNERS PFEHOGTTVSSSERDHWWWWGTETTVPVEPSELNEPS	LSPEHNSLEPYYGE	EVEKKNKAMVPVARLEGPAIFEASKLKV L
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PHSSTTNERSPELHOGTTVSSSLRDMWMMMGTLTTVPVLPSRLMKPS-	···· LSPEHINSLIPYYCE····	EVGKOMANNPVARLEGPATEEASELEVI
PHSSTTNERSPFLHOGTTVSSSLRDM###PHGTLTTVPVLPSRLNRPS-	LSPERASLEPYYG	RVGRONKAMVPVARLEGPATEEASKLKVL
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PHTPPVLERENCYSLSF1RVLFDL1SVLRDMM-RMCTLTTVPVLPSRLNRPS-	LSPHONSLEPYCCE	RVGRKNRAM/PVARLEGPATFEASKLKVI
PHTPPVLGRENKYSLSFTRVLFDLTSVLRDWM-RWICTLTTVPVLPSRLMRPS-	···· SPHONSLEPYCO	RVCKKNKAMVPVARLEGPATFEASKLKVL
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Objectives

- 1. Evolution and introduction to phylogenetic analysis
- 2. Methods in phylogenetic analysis



Phylogenetic tree

- Phylogenetic tree based on coancestral relationships
- It regards <u>homology</u> as evidence of common ancestry
- Distance between taxa reflects a decreasing number of homologous characters
- Constructed phylogenetic tree is not necessarily the same as actual evolutionary relationship





Δ







- Clades: share a common ancestor that belongs to their own group
- Monophyletic groups (clades): contain taxa (taxonomic gr.) that are more closely related to each other than to any outside the group
- Dendogram = tree diagram that illustrate the arrangement of the clusters (cluster analysis) produced by hierarchical clustering (based on similarity)
- Speciation = new species that capable of making a living in a new way from the species which it arose
- Homolog: gene related to a second gene by descent from a common ancestral DNA sequence
 - Ortholog = genes in <u>different species</u>, evolved from a common ancestral gene by speciation, retain the same function
 - Paralogs = genes related by duplication within a genome, evolve new functions (within species)





Why phylogenetic analysis?

- Determining the closest relatives of the organism and diversity
 - Novel organism (species)
 - Cluster analysis: outbreak of ID, genetic diseases
 - Map pathogen strain diversity for vaccines
 - Biodiversity studies
 - Understanding microbial ecologies
- Discovering the function of a gene
 - Orthologous/ paralogous genes
- Retracting the origin of a gene or organism
 - Understand evolutionary history
 - Recent common ancestor

Divergence versus Convergence evolution

Divergence evolution

- Accumulation of differences (mutations) between groups, can lead to the formation of new species
- Same species adapting to different pressure
- e.g. organisms with 5 digit pentadactyle limbs
 - : humans, bats, and whales (homologous structures)
- Convergence evolution
 - the acquisition of the same biological trait in unrelated lineages
 - Differnt species adapting to the same pressure
 - Analogous structures



Independently evolved genera of succulent plants







Divergent vs. Parallel vs. Convergent evolution





Homology versus Homoplasy

Human

Doc

Homology =

- Similarity derived from a common ancestor
- Homologous characters = useful for phylogenetic tree construction

Homoplasy =

- Similarity due to independent acquisitions of the same or superficially similar characteristics
- Homoplasic characters = misleading picture of phylogeny



"Hairs"

Frog

_izard







Number	Number of	Number of
of OTUs	unrooted trees	rooted trees
2	1	1
3	1	3
4	3	15
5	15	105
6	105	945
7	954	10,395
8	10,395	135,135
9	135,135	34,459,425
10	34,459,425	2.13E+15
15	2.13E+15	8.00E+21

So, if apply for long sequence data set, it may take a very long time for analysis



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(b) Is the following pair of trees identical in topology?







- Root: origin of evolution
- Leaves: current organisms, spp., groups
- Branches: relationship between organisms, spp.
- Branch length: evolutionary time





Cladogrames =

 branch lengths are meaningless



Phylograms =

Provide branch lengths





Bootstrapping



- The same dataset >> many tree shapes (relationship): What is the most correct one?
- Statistical method to measures the accuracy of sampling distribution
 - = Characters (sites/ sequences) random resampling with replacement methods (e.g. 1000 times (replica))
- Frequency of occurrence of groups in the results support the accuracy of that groups
- Showing how often that relationships occurred in the replicate analyses
- Assess quality or reliability of a reconstructed tree

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- The number of bootstrap show the confidence of the tree topology (max = 100)
- In general: bootstrap value in particular branch > 95 = correct



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70 80 90 100	Sfil pattern	LabID
		F7482
		K0997
		K1123
		K0052
		K0837
		F7483
		K0051
		F7325
	CHILD IN THE REPORT	K0403
		K0404
		K0406
		K0407
		K0416
		K0418
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		K0402
		K0412
		K0415
		K0470
		K0405
	184 1 0 1 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	K0410
	100 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	K0417
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		K0421
		K0422
		K0423
		K0424



Dendogram (RFLP)

"% similarity"

How many group at 80% similarity?



Methods for phylogenetic tree analysis

4 main (statistical) methods

- 1. Distance (NJ and UPGMA)
- 2. Parsimony
- 3. Maximum likelihood
- 4. Bayesian methods (not in detail!)
 - [Tree merging method e.g. consensus tree]

Different method may provide different tree Which one I should select for my data?



1. Distance based method



- Construct trees by evolutionary distances
- Minimum Evolution = best tree is the shortest length
- Concept
 - Pairwise distances between taxa are calculated
 - Tree topology & branch lengths from distance matrix
 - not accurate but good for continuous data/ large data
- Most common methods
 - Neighbor Joining
 - UPGMA





% similarity

Seq A >>> AGAUUCGUCUGUAGGUUUCCACCAA Seq B >>> ACAUUCGUGUAUAGGUUUCCACUAA





No. of different character = 4

the similarity between Seq A and Seq B $= \frac{21}{25} = 0.84$



There are options for calculation similarity

"Score of transversion > transition"

Seq A: AGAUUCGUCUGUAGGUUUCCACCAA

|X|||||X|X|||||||||X|X|| Seq B: ACAUUCGUGUAUAGGUUU CCACU AA

02000002010000000000100

the similarity between Seq A and Seq B = 19/25 = 0.76







- **1. Calculate the distance for each taxon to others**
- 2. Join the two nearest neighbours into a new node
- 3. Compute branch lengths from these two taxa to the new node
- 4. Compute the distance between the new node and all other taxa
- 5. Delete the joined taxa from the distance matrix and add the new node
- 6. Repeat until only 2 taxa remain, then join them



Example of Neighbor-joining





Matrix 1

Step 1: calculation : Sx = (sum all Dx) / (leaves - 2)

- S(A) = (5 + 4 + 7 + 6 + 8) / 4 = 7.5
- S(B) = (5 + 7 + 10 + 9 + 11) / 4 = 10.5
- S(C) = (4 + 7 + 7 + 6 + 8) / 4 = 8
- S(D) = (7+10+7+5+9) / 4 = 9.5
- S(E) = (6 + 9 + 6 + 5 + 8) / 4 = 8.5
- S(F) = (8 + 11 + 8 + 9 + 8) / 4 = 11





Step 2: Calculate pair with smallest M

Mij = Distance ij - Si - Sj

- Smallest are
 - □ M(AB) = d(AB) S(A) S(B) = 5 7.5 10.5 = -13□ M(DE) = 5 - 9.5 - 8.5 = -13

	Α	В	С	D	Е	
В	-13					Matrix 2
С	-11.5	-11.5				
D	-10	-10	-10.5			
Е	-10	-10	-10.5	-13		
F	-10.5	-10.5	-11	-11.5	-11.5	





Step 3: Create a node U

```
S1U = (Dij / 2) + (Si - Sj) / 2

U1 joins A and B:

S(AU1) = d(AB) / 2 + (S(A) - S(B)) / 2

= 5 / 2 + (7.5 - 10.5) / 2 = 1

S(BU1) = d(AB) / 2 + (S(B) - S(A)) / 2

= 5 / 2 + (10.5 - 7.5) / 2 = 4
```





Step 4: Join A and B according to S, and make all other taxa in form of a star. Branches in black are unknown length and Branches in red are known length







Step 5: Calculate new distance matrix Dxu = (Dix + Djx - Dij) / 2 d(CU) = (d(AC) + d(BC) - d(AB)) / 2 = (4 + 7 - 5) / 2 = 3 d(DU) = d(AD) + d(BD) - d(AB) / 2 = 6Same as EU and FU

□ Then we get the new distance matrix

	U1	С	D	Е
U1	3			
D	6	7		
Е	5	6	5	
F	7	8	9	8

Matrix 3





Repeat 1 to 5 until all branches are done
In this example, we will get this at the end





1.2 UPGMA



- Unweighted Pair Group Method with Arithmetic Mean
- Assumes a constant rate of evolution over time or among lineages (molecular clock hypothesis)
- This assumption have to be tested and justified before analysis

New Mexicans for Science and Reason EXAMPLE CALCULATION OF PHYLOGENIES: THE UPGMA METHOD http://www.nmsr.org/upgma.htm







- 1. Compare the differences among taxa & create distance matrix
- Join and average the values of the <u>closet match taxon</u> (the smallest value have to be combined first)
- 3. The tree is build following the value of differences
- 4. Join the taxon until finish





(Fitch and Margoliash, Science Vol. 155, 20 Jan. 1967)

		Turtle	Man	Tuna	Chicken	Moth	Monkey	Dog
		Α	В	С	D	Е	F	G
Turtle	Α							
Man	В	19						
Tuna	С	27	31					
Chicken	D	8	18	26				
Moth	Е	33	36	41	31			
Monkey	F	18	1	32	17	35		
Dog	G	13	13	29	14	28	12	

19 difference in the amino acid sequences between man and turtle
1 difference in the amino acid sequences between man and monkey

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So, combine A and D





	AD	BF	С	Е	G		
AD						JOIN BF, G	BFG
BF	18.00					\longrightarrow	
C	26.50	31.50]	5.75 0.22
E	32.00	35,50	41.00				
G	13.50	12.50	29.00	28.00]	I

	AD	BFG	С	Ē
AD				
BFG	15.80			
C	26.50	30.30		
Е	32.00	31.80	41.00	

ADBFG

28.40

31.90

ADBFG

С

Ε

С

41.00

Ε

(



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UPGMA result





Interpretation

After the reptile/mammal split, birds splitting from reptiles...

It is in perfect match with the "fossil record"





Distance based method

- Advantages:
 - A single tree is estimated: easy
 - Fast with little computational expenditure
 - Easy to handle large numbers of sequences

Disadvantages:

- Lacks accuracy: no attempt to correct homoplasy
- No optimizing criterion
- Assume molecular clock (UPGMA)
- A single tree is estimated: no confidence





Minimum spanning tree

- A tree is a connected graph without cycles
- The MST = the shortest length (when reach out) that connect all points (vertices)







- Minimize the number of changes that are needed to explain the data
- Use a simple algorithm to determine how many "steps" are required to explain the distribution of each character (i.e., prefer the simpler relationship)
- The steps may be base or amino-acid substitutions for sequence data, or gain and loss events
- Maximum parsimony tree: the most parsimonious distribution = preferred hypothesis of relationships among taxa



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Searches through tree topologies in 'tree-space' (hill) using a 'hill-climbing' algorithm.



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One way to reduce the probability of being stuck in a local maxima is to do repeat analyses from different starting points The best tree



Parsimony



Advantages:

- When the data is simple = generally accurate method
- Does not reduce sequence information to a single number (that found from distance methods)
- Relatively fast and undemanding (faster than ML)

Disadvantages

- Several typical "shortest trees">> Potentially ambiguous consensus topology (if trees with the same score)
- Prone to error under certain circumstances (homoplasy/ LBA)
- Cladogram: not provide branch lengths



3. Maximum likelihood (ML) method

- Using a model for sequence evolution
- Create a tree that gives the highest likelihood of occurring with the given data
- The process of sequence evolution is not as simple as parsimony assumes



С

- Probability to rain?
- Probability to rain in November?
- Probability to rain in November in Southern of Thailand?
- Probability to rain in 2 successive days, in November in Southern of Thailand?

- Transition/ Transversion and likelihood of amino acid changes
- House keeping gene and Conservative region
- Back substitution

Α

• Multiple substitution (in one site or one branch)

C







- Pick an evolutionary model (JC, K2P, GTR etc.)
- Generate all possible tree structures
- Calculate Likelihood of these trees and sum them to get the column likelihood for each OTU cluster.
- Calculate Tree Likelihood by multiplying the likelihood for each position
- Choose tree with greatest likelihood







Imagine tossing a coin and getting a head. What is the likelihood of that result?

Pick an Evolutionary Model



All equal

Kimura (K2P)



Transition/transversion

General (GTR)



All free 55





Evolutionary (nucleotide substitution) Model

- : rates of change from one nucleotide to another
- JC
- K2P
- GTR (most general usable model)

Models to describe rate variation among sites in a sequence

- gamma distribution (G)
- proportion of invariable sites (I)

"GTR model of nucleotide substitution with gamma model of rate of heterogeneity"



Possible Trees (unrooted)





All possible evolutionary paths (position 6th)





Likelihood for one path of one position (6th)



 $L(path) = L(root) \times \prod L(branches)$

 $= P(G \rightarrow T)P(G \rightarrow G) P(G \rightarrow A)P(G \rightarrow G) P(T \rightarrow T)P(T \rightarrow T)$



L(Column Cluster 1) = Σ L(all possible Evolutionary Paths)

= L(path1) + L(path2) + L(path3) + ... + L(path64)

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Whole Sequence Likelihood For all (10) positions, all (640) paths

Tree 1

W X Y Z

L(Sequence) = L(root) x Π_i L (each position i)

Do the rest of the possible tree

Choose the tree with the "Maximum Likelihood" ⁶²





Maximum likelihood method

Advantages

- Highly accurate, allows various forms of homoplasy to be corrected
- Single tree is produced that is generally precise (choose the best likely tree)

Disadvantages

- Complexity process = slow and computationally demanding
- The hill-climbing algorithm is susceptible to local optima







- <u>Maximum likelihood</u> tries to find the best values (single tree with most likelhood) for the branch lengths and model parameters
- Bayesian inference allows these parameters to have some uncertainty (Distribution of trees)
- <u>Maximum likelihood:</u> the probability of the data given the model
- Bayesian inference: the probability of the model given the data (posterior probability)
- Bayesian inference requires a prior probability to be set for each parameter



Bayesian methods







Bayesian method



Advantages:

- Potential for any <u>complex model</u> (more complex than ML)
- Provides tree and <u>support for the relationships</u> in a single analysis
- Able to break out of local maxima

Disadvantages:

- Must be specified <u>prior probabilities</u> (require sufficient knowledge of these probabilities?)
- Must be <u>run long enough</u> (but never certain) for the result to smooth out



Comparison of Methods



Distance	Maximum parsimony	Maximum likelihood
Uses only pairwise distances	Uses only shared derived characters	Uses all data
Minimizes distance between nearest neighbors	Minimizes total distance	Maximizes tree likelihood given specific parameter values
Very fast	Slow	<i>Very</i> slow
Easily trapped in local optima	Assumptions fail when evolution is rapid	Highly dependent on assumed evolution model
Good for generating tentative tree, or choosing among multiple trees	Best option when tractable (<30 taxa, homoplasy rare)	Good for very small data sets and for testing trees built using other methods







- Character based (ML/MP) better than distance based (not reduce character as single event), but demanding higher computer.
- So, with >1000 taxa, distance based is appropriate to cove with limitation.
- NJ is better than UPGMA (molecular clock assumptions)/ provide single tree but ignore other possible tree.
- ML is better than MP because correct homoplasy, still sensitive to local optima.
- Bayasien is the best (posterior probability/ break local optima).





ML is generally the best, esp. for sequence data, but demanding

Most commonly used packages contain software for all three methods (Not Bayesian method)

It would be more confident to use **more than 1 method** to built the tree



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Alignment = hypothesis of **positional homology** between sequences Phylogeny is meaningless unless it is based on a **well-made alignment**



>EP38001 (+) Ce hist. H1 his-24; range -299 to 100. GAGAGTCAGGTCGTGTGAAAACCAATGCGTCGACTTCAGGGCCCAATTACTCGGTCATTT ATAATCGTTTTCTCTCGAATTTTGAGCACAATGTAGATAATGTCTTCAGCTATCAGATGT TATCAGGAAATTTCATAAAAATTGATCCGGAGTATCCAAATTGTCAGCGCCCGACACCTC CTCCTTTCGAGACCTGCTATCTTATTCGGTGCAGTAAGGGAGAGGCGGGGATGTGTCCCCG CAGGGTGGTAGAAATTGGGTATATAAGAGAACGAGAGGACTCGCACAGTCATCACTTTTC AAGTGTCACCCAACCAACCGACGTCGCAACGATGTCTGATTCCGCTGTTGTTGCCG CCGCTGTCGAGCCAAAGGTCCCAAAGGCTAAGGCCGCCAA

FASTA format

You know the best what is your biology of interest!What are you doing!!!

Alignment is critical Bad Alignment = bad tree

1	TGNFQYWNS <mark>KIQGVLGTQFLVEK</mark> MGTLTTSLVVPSKLNNEQQSSIFIHKTRRKCKKNQSIVPVARLFGPAIFEASKLKVL
2	RKIQGVLGTQFLVEKMGTLTTSLVVPSKLNNEQQSSIFIHKTRRKCKKNQSIVPVARLFGPAIFEASKLKVL
2	IILSIIIQTNNSFQIQMGSLIIPSKLNTSSSVLDHHHTSFFIYKTPSKIKKIHSPVARLFGPSIFEASKLKVL
5	FSGVLFCCYFNIEAFDLGFKRAEMCSLSAIMLLPTKLKPAYSDRRSNSSSSSSLFFNNRRSKKKNOSIVPVARLFGPAIFESSKLKVL
4	EAFDLGFKRAEMCSLSAIMLLPTKLKPAYSDKRSNSSSSSSLFFNNRRSKKKNQSIVPVALFOPAIFESSKLKVL
5	FSGVLFCCYFNIEAFDLGFKRAEMCSLSAIMLLPTKLKPAYSDRRSNSSSSSLFFNNRRSKKKNQSIVPVARLFGPAIFESSKLKVL
6	PFLHQGTTVSSSLKDWNNNKNMGTLTTVPVLPSKLNKPSLSPRHNSLFPYYGRRVGKKNKAMVPVARLFGPAIFEASKLKVL PFLHQGTTVSSSLKDWNNNRNMGTLTTVPVLPSKLNKPSLSPRHNSLFPYYGRRVGKKNKAMVPVARLFGPAIFEASKLKVL

- Chose the most appropriate model to your data
- Maybe try many models, compare and consider

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Free programs for phylogenetic analysis

There are hundreds of free available programs that involved in phylogenetic tree e.g.

- PhyML (ML): http://atgc.lirmm.fr/phyml/
- PAUP* (NJ, MP, ML): http://paup.csit.fdsu.edu
- **PHYLIP (NJ, MP, ML):** http://evolution.genetics.washington.edu/phylip.html
- MrBayes (Bayesian): http://mrbayes.csit.fdsu.edu
- Splitstree (Networks): http://www.splitstree.org
- FindModel (Model Test): http://www.hiv.lanl.gov/content/sequence/findmodel/findmodel.html
- SeaView (Contains Clustal, Muscle, PHYLIP and PhyML + a simple tree viewer: http://pbil.univ-lyon1.fr/software/seaview.html
- Felsenstein's Phylogeny program page (links to available software): http://evolution.genetics.washington.edu/phylip/software.html





Practices

- Construct the tree from sequences
- Using SeaView
 - Contains Clustal, Muscle + PHYLIP and PhyML + a simple tree viewer
- Bootstrapping, branch length, Re-root
- Interpret the tree



Recommended books, articles and link

- How to read a phylogenetic tree: http://epidemic.bio.ed.ac.uk/how_to_read_a_phylogeny
- Hall Phylogenetic trees made easy. Sinauer Associates.
- Page & Holmes Molecular Evolution: A Phylogenetic Approach. Blackwell Science.
- Felsenstein Inferring Phylogenies. Sinauer Associates.
- Natural selection and variation
 http://www.blackwellpublishing.com/ridley/EVOC04.pdf
- Evolutionary Developmental Biology http://www.blackwellpublishing.com/ridley/EVOC20.pdf
- Evolutionary and diversity
 http://www.blackwellpublishing.com/ridley/EVOC13.pdf
- Multiple alignment and phylogenetic analysis
 http://cmgm.stanford.edu/classes/pdf/phylogenetic.pdf
- MultiPhyl (ML via email): http://distributed.cs.nuim.ie/multiphyl.php
- Phylogeny.fr (Robust Phylogenetic Analysis For The Non-Specialist): http://www.phylogeny.fr/





"Nothing in Biology Makes Sense Except in the Light of Evolution"